

Docket No.: 310067US2PCT

OFFICE OF PCT LEGAL ADMINISTRATION COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

RE: Application Serial No.: 10/507,475

Applicants: Haruki TODA
Filing Date: September 10, 2004

For: PHASE-CHANGE MEMORY DEVICE

Group Art Unit: 2858

Attn: James Thomson

SIR:

Attached hereto for filing are the following papers:

Renewed Petition to Withdraw Holding of Abandonment under 37 CFR 1.181
Supplemental Declaration of Troy M. Schmelzer
Copy of Docket Record dated April 15-17, 2005

Our credit card payment form in the amount of \$130.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

SPIVAK

McClelland

MAIER

NEUSTADT P.C.

ATTORNEYS AT LAW

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Eckhard H. Kuesters

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Customer Number

22850

(703) 413-3000 (phone) (703) 413-2220 (fax)

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Remus F. Fetea, Ph.D. Registration No. 59,140



DOCKET NO: 310067US2PCT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF

HARUKI TODA

: ATTN: JAMES THOMSON

SERIAL NO: 10/507,475

FILED: SEPTEMBER 10, 2004

FOR: PHASE-CHANGE MEMORY

DEVICE

RENEWED PETITION TO WITHDRAW HOLDING OF

ABANDONMENT UNDER 37 CFR 1.181

OFFICE OF PCT LEGAL ADMINISTRATION COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

08/07/2007 CNGUYEN2 00000010 10507475 01 FC:1464 130.00 OP

SIR:

In response to the Decision dated June 25, 2007, regarding the Petition to Reset Time Period filed on April 24, 2006 and Petition to Withdrawn the Holding of Abandonment filed on May 31, 2007, Applicant respectfully submits the required evidence and requests grant of the instant petition.

On February 17, 2005, the United States Designated/Elected Office mailed a Notification of Missing Requirements under 35 U.S.C. 371 and Applicant was given two months to respond. However, the Applicant's representatives at that time, Hogan & Hartson assert that they never received that Notification.

On April 24, 2006, Hogan & Hartson filed a Petition to Reset Time.

On May 8, 2006, a Notification of Abandonment was mailed from PTO.

On May 31, 2007, a Petition to Withdrawn the Holding of Abandonment was filed by Hogan & Hartson.

On June 4, 2007, a Power of Attorney and change of correspondence address was filed to change Applicant's representative from Hogan & Hartson to Oblon, Spivak, McClelland, Maier, and Neustadt.

On June 25, 2007, the PTO issued a decision denying both Petitions filed previously for the reason of not providing "a copy of the docket record where the nonreceived Office communication would have been entered had it been received and docketed."

In response to the Decision of June 25, 2007, Applicant submits (i) a copy of the docket of Hogan & Hartson for the date of April 17, 2005 (which is two months from the mailing date of the Notification of February 17, 2005), that indicates that no response to the Notification of Missing Requirements was docketed as due, and (ii) a supplemental declaration of the attorney in charge, Mr. Troy Schmelzer from Hogan & Hartson, explaining the missing entry in the enclosed copy of the docket.

In addition, Applicant notes that all the documents filed April 24, 2006 and May 31, 2007 are available in PAIR and thus, a copy of these documents is not included with this petition.

Thus, Applicant respectfully requests that this petition be granted as the only missing evidence noted by the Decision is enclosed herewith.

A favorable and early decision on this petition is respectfully requested.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

 $\begin{array}{c} \text{Customer Number} \\ 22850 \end{array}$

Tel: (703) 413-3000 Fax: (703) 413 -2220 (OSMMN 06/04) Eckhard H. Kuesters Attorney of Record Registration No. 28,870

Remus F. Fetea, Ph.D. Registration No. 59,140

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Haruki TODA

Serial No. 10/507,475

Filed: September 10, 2004

For: Phase-Change Memory Device

Art Unit: 2858

Examiner: Not Assigned

Confirmation No. 1274

SUPPLEMENTAL DECLARATION OF TROY M. SCHMELZER

Mail Stop PCT Commissioner for Patents Office of PCT Legal Administration P. O. Box 1450 Alexandria, VA 22313-1450

I, TROY M. SCHMELZER, in supplement to my declaration dated April 19, 2006 in this matter, declare as follows:

- 1. I am a registered patent attorney and a partner in the law firm of Hogan & Hartson LLP, which was responsible for prosecuting this patent application from September 10, 2004 to June 4, 2007.
- 2. On Fridays, computer-generated docket records are printed and distributed to attorneys having responsibility for applications with response deadlines falling not only on that Friday, but also falling on Saturday and Sunday of the subsequent weekend. Thus, any application having a response deadline falling on a Friday, Saturday or Sunday will be included in a computer-generated docket record covering the period of Friday-Sunday.
- 3. A response to the Notification of Missing Requirements mailed on February 17, 2005 in this application would have been due on Sunday, April 17, 2005.

Appl. No. 10/507,475

4. Copies of our computer-generated docket records that were printed and distributed to attorneys for all applications having response deadlines during the period of April 15-17, 2005 are attached. These records do not include any listing of U.S. application 10/507,475, or any indication that a response was due to the

subject Notification of Missing Requirements in that application.

5. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: August 1, 2007

Troy M. Schmelzer Registration No. 36,667

1999 Avenue of the Stars, Suite 1400 Los Angeles, California 90067

Phone: 310-785-4600 Fax: 310-785-4601

| Action Due Date Action Description | Docket Number | Case Rel Fil Application Type Type Type Number | | Application Date | Working Attorney | ClienADivision |
|---------------------------------------|---|---|--|---------------------|---------------------|------------------------|
| OLGA BERSON | | | | | | |
| 4/15/2005 | | | | | | |
| Reminder 0 | 23539.0006 | United States | | | OLGA BERSON | ADVANCED BIOSYSTEMS |
| a hander | Title: MET | METHOD OF INHIBITING SMALL POX INFECTION BY TARGETING HELI | SETING HELI | | | |
| es de la company | Action Notes: | Action Notes: 3/17/05-per OLga - WILL BE RE-FILED AS A NEW PROVISIONAL (from 23539,0005)3/24/05- adv date per Olga, waiting for additional info from client3/31/05-per OLga, still waiting for additional info from client4/8/05-no instructions received on docket- HAS THIS BEEN FILED? | OVISIONAL (from tional info from om client4/8/05-no D? | | | |
| F/U PTO RE STATUS | 89285.0004 United S | tates | 10/750543 | 12/31/2003 | OLGA BERSON | ISHIDA CO., LTD. |
| garge gag | Inte: Clark | Hite: Control 2013 - Availing first office action.3/1/05-adv 1 month-no Action Notes: App filed 12/31/03 - awaiting first office action.3/1/05-adv 1 month-no instruction4/1/05-adv 2 wks per Olga んんしょく スプイ・ス | iv 1 month- no | | | |
| Reminder | 89285.0006 United S Title: DISPLAY STRIP | United States PLAY STRIP AND DISPLAY STRIP AND PRODUCT ASSEMBLY | 11/001857 SEMBLY | 12/2/2004 | OLGA BERSON | ISHIDA CO., LTD. |
| popular p | Action Notes: | Action Notes: Additional Preliminary Amendment needs to be filed. See faxdated 04-08-05. May Perchanged Democrates 2 CMS. | ee faxdated 04-08- | ń | | |

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| Action Due Date Action Description | Docket Number | Country | Case Rel Fil Application Type Type Type Number | Rel Fil Application Type Type Number | Application Date | Working Attorney | Client\Division |
|---------------------------------------|--|---|--|--|---------------------|---------------------|--------------------|
| WILLIAM WRIGHT 4/15/2005 | | | | | | | |
| F/U PTO RE STATUS | 81788.0251 | United States | ပ | 10/602099 | 6/23/2003 | WILLIAM WRIGH | TOSHIBA |
| | Title: SEMIC | SEMICONDUCTOR LIGHT EMITTIN | LIGHT EMITTING ELEMENT AND MAUFACTURING | AUFACTURING | | | |
| | Action Notes: Certificate of SHerlin3/8/05 received on d | Certificate of Correction requested 11/8/04.2/8/05-adv date per Sherlin3/8/05-adv date per Sherlin4/8/05-adv 1week, no instruction received on docket | Correction requested 11/8/04.2/8/05-adv date per Fadv date per Sherlin4/8/05-adv 1week, no instructocket | adv date per k, no instruction | · | | |
| Reminder | 83325.0001 <i>Title:</i> WDM | 001 European Patent Convention 02728818.2 WDM TRANSMITTER OR RECEIVER INCLUDING AN ARRAY WAVEGUI | n R INČLUDING AN AF | 02728818.2 RRAY WAVEGUI | 4/17/2002 | WILLIAM WRIGH | APIC Corporation |
| · | Action Notes: | Action Notes: 02-14-05 per foreign assoc. fax, Due date for response to second Office Action is 5/1/05 (No additional exts. available.)3/15/05-adv per RV- Sherlin sent client reminder on 3/154/5/05-adv per Sherlin4/8/05-adv per RV-reminder sent to client by Sherlin Y | x, Due date for respo exts. available.)3/15/ i/05-adv per Sherlin4/ | onse to second Office 05-adv per RV- Sherlin /8/05-adv per RV- | | | |
| 4/16/2005 | | | | | | | |
| F/U PTO RE STATUS | 81788.0257 | United States | ပ | 10/664002 | 9/16/2003 | WILLIAM WRIGH | TOSHIBA |
| | Title: SEMIC | SEMICONDUCTOR LASER ARRAY | LASER ARRAY AND ITS MANUFACTURING METHO | TURING METHO | | · | |
| | Action Notes: | Action Notes: 11/16/04- adv date per Sherlin12/16/04- adv date per Sherlin1/14/05-adv date, no instructions2/16/05 - ADV. DATE. NOTED BY SHERLIN Y. | 12/16/04- adv date p \DV. DATE. NOTED | er Sherlin1/14/05-adv BY SHERLIN Y. | | | |
| Restriction - 3rd extension | 81916.0010 <i>Title:</i> FIXTU | 110 United States FIXTURE FOR MULTIPLE KNOWN (| tes TIPLE: KNOWN GOOD DIE PROCESSING | 10/230971 SING | 8/29/2002 | WILLIAM WRIGH | SCS Hightech, Inc. |
| | Action Notes: | | - | | | | |

| Action Due Date Action Description | Docket Number | Country | Case Rel Fil Application Type Type Number | Application Date | Working Attorney | Client\Division |
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| 4/17/2005 CHECK DIVISIONAL STATUS (1) | 81788.0025 <i>Tide:</i> NON-N | United States | . O9/451619 NON-VOLATILE SEMICONDUCTOR MEMORY HAVING A DECREASED | 11/30/1999 | WILLIAM WRIGH | TOSHIBA CORPORATION |

Action Notes:

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| Action Due Date Action Description | Docket Number | Country | Case Rel Fil Application Type Type Type Number | Application ne Number | Application Working Date Attorney | Working Attorney | ClientDivision |
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| YING CHEN 4/15/2005 | | | | | | | · . |
| Reminder | 81754.0136 | United States | ۵ | 10/941301 | 9/15/2004 | YING CHEN | SEIKO EPSON |
| | Title: METH | METHOD OF MANUFACTURING SEMICONDUCTOR DEVICE AND METH | ICONDUCTOR DE | VICE AND METH | | | |
| | Action Notes: | Action Notes: 4/1/05-no instructions re: Missing Parts. Per C. Keyner, add reminder 4/8/05 to review file re: repsonse from client4/8/05-no instructions on dockel | ig Parts. Per C. Ki from client4/8/05-r | eyner, add reminder to instructions on docke | <u> </u> | | |
| Reminder | 81754.0137 | United States | ۵ | 10/941300 | 9/15/2004 | YING CHEN | SEIKO EPSON |
| | Title: METH | Title: METHOD OF MANUFACTURING SEMICONDUCTOR DEVICE AND METH | ICONDUCTOR DE | VICE AND METH | · | | |
| | Action Notes: | Action Notes: 4/1/05-no instructions re: Missing Parts. Per C. Keyner, add reminder 4/8/05 to review file re: repsonse from client4/8/05-no instructions on dockel | ng Parts. Per C. Ki from client4/8/05-r | eyner, add reminder no instructions on dock | - 0 | | |

| Reminder Title: DEVICE STATUS MONITORING SYSTEM, DEVICE STATUS MONITORING PLA Action Notes: Scale deaf response to client. C 10/290549 11/17/2002 DAR ADLI Hilachi Intellectual Property Title: VEHICLE POWER CONVERTED WITH SHUNT RESISTOR HAVING PLA Action Notes: SCHIBERG ONS/2005-SHIPING SYSTEM FOR ANALYZING MASS SPECTROMETRIC DATA REASONS STATUS (1) (C KEYNER) CHECK DIVISIONAL (S3394.0019 AnalyZING MASS SPECTROMETRIC DATA (10/263381 227/2003 DAR ADLI TDK CORPORATION MISSIAGE Action Notes: Client elects Group III per fax dated 04-11-05. | Action Due Date Action Description | Docket Number | Country | Case Rel Fil Application Type Type Number | Application Date | n Working Attorney | ClientDivision |
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| 1747.0212 United States 101045578 1/11/2002 DAR ADLI ction Notes: DEVICE STATUS MONITORING SYSTEM, DEVICE STATUS MONITORIN C 10/290549 1/17/2002 DAR ADLI 3388.0011 United States C 10/290549 11/17/2002 DAR ADLI tife: VEHICLE POWER CONVERTED WITH SHUNT RESISTOR HAVING PLA C 10/290549 11/17/2002 DAR ADLI rife: VEHICLE POWER CONVERTED WITH SHUNT RESISTOR HAVING PLA C 10/290549 11/17/2002 DAR ADLI rife: SCHUBERG ON/39005 AT 12:15 P.M. REGARDING THIS CASE. HE WILL BEND CORRECTION. MISTAKE WAS MADE. BY THE USING. (PH:571-272-244) FOLLOW UP PUT IN SYSTEM TO MAKE SURE THE APPROVAL LETTER IS RECEIVED. (C KEYNER) ARAKE SURE THE APPROVAL LETTER IS RECEIVED. (C KEYNER) ARAKE SURE THE APPROVAL LETTER IS RECEIVED. (C KEYNER) ARAKE SURE THE APPROVAL LETTER IS RECEIVED. (C KEYNER) ARAKE SURE THE APPROVAL LETTER IS RECEIVED. (C KEYNER) ARAKE SURE THE APPROVAL LETTER IS RECEIVED. (C KEYNER) ARAKE SURE THE APPROVAL LETTER IS RECEIVED. (C KEYNER) ARAKE SURE THE APPROVAL LETTER IS RECEIVED. (C KEYNER) ARAKE SURE THE APPROVAL LETTER IS RECEIVED. (C KEYNER) ARAKE SURE THE APPROVAL LETTER IS RECEIVED. (C KEYNER) ARAKE SURE THE APPROVAL LETTER IS RECEIVED. (C KEYNER) ARAKE SURE THE APPROVAL LETTER IS RECEIVED. (C KEYNER) ARAKE SURE THE APPROVAL LETTER IS RECEIVED. (C KEYNER) | DAR ADLI 4/15/2005 \mathcal{L}_{L} | r | | | · | · | |
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| 11/72002 DAR ADLI 1388.0011 United States C 10/290549 11/7/2002 DAR ADLI 146: VEHICLE POWER CONVERTED WITH SHUNT RESISTOR HAVING PLA 1570 AND AVERSON SPOKE WITH EXAMINER 1570 AND AND APPROVAL LETTER WITHIN 7-10 DAYS REGARDING 1570 THE DENIED CERTIFICATE OF CORRECTION. MISTAKE WAS MADE 1570 WILL SEND ANAPPROVAL LETTER WITHIN 7-10 DAYS REGARDING 1780 THE DENIED CERTIFICATE OF CORRECTION. MISTAKE WAS MADE 1781 BY THE USTPO. (PH:571-272-2044) FOLLOW UP PUT IN SYSTEM TO 1770 MAKE SURE THE APPROVAL LETTER IS RECEIVED (C. KEYNER) 1770 MAKE SURE THE APPROVAL LETTER IS RECEIVED (C. KEYNER) 1770 AND MAKE SURE THE APPROVAL LETTER IS RECEIVED (C. KEYNER) 1770 AND MAKE SURE THE APPROVAL LETTER IS RECEIVED (C. KEYNER) 1770 AND MAKE SURE THE APPROVAL LETTER IS RECEIVED (C. KEYNER) 1770 AND MAKE SURE THE APPROVAL LETTER IS RECEIVED (C. KEYNER) 1770 AND MAKE SURE THE APPROVAL LETTER IS RECEIVED (C. KEYNER) 1770 AND MAKE SURE THE APPROVAL LETTER IS RECEIVED (C. KEYNER) 1770 AND MAKE SURE THE APPROVAL LETTER IS RECEIVED (C. KEYNER) 1770 AND MAKE SURE THE APPROVAL LETTER IS RECEIVED (C. KEYNER) 1770 AND MAKE SURE THE APPROVAL LETTER IS RECEIVED (C. KEYNER) 1770 AND MAKE SURE THE APPROVAL LETTER IS RECEIVED (C. KEYNER) 1770 AND MAKE SURE THE APPROVAL LETTER IS RECEIVED (C. KEYNER) 1770 AND MAKE SURE THE APPROVAL LETTER IS RECEIVED (C. KEYNER) 1770 AND MAKE SURE THE APPROVAL LETTER IS RECEIVED (C. KEYNER) 1770 AND MAKE SURE THE APPROVAL LETTER IS RECEIVED (C. KEYNER) 1770 AND MAKE SURE THE APPROVAL LETTER IS RECEIVED (C. KEYNER) 1771 AND MAKE SURE THE APPROVAL LETTER IS RECEIVED (C. KEYNER) 1771 AND MAKE SURE THE APPROVAL LETTER IS RECEIVED (C. KEYNER) 1771 AND MAKE SURE THE APPROVAL LETTER IS RECEIVED (C. KEYNER) 1771 AND MAKE SURE THE APPROVAL THE AP | 1 mag(| | ICE STATUS MONITORING SYS | TEM, DEVICE STATUS MONITORIN | | | |
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| ction Notes: Client elects Group III per fax dated 04-11-05. | Note to look in action notes | 83388.0011 | United States | | 11/7/2002 | DAR ADLI | Hitachi Intellectual |
| ction Notes: 3/30/05 - SHINDALE FERGUSON SPOKE WITH EXAMINER SCHUBERG ON3/30/05 AT 12:15 P.M. REGARDING THIS CASE. HE WILL SEND ANAPPROVAL LETTER WITHIN 7-10 DAYS REGARDING THE DENIED CERTIFICATE OF CORRECTION. MISTAKE WAS MADE BY THE USTPO. (PH:571-272-2044) FOLLOW UP PUT IN SYSTEM TO MAKE SURE THE APPROVAL LETTER IS RECEIVED. (C. KEYNER) MAKE SURE THE APPROVAL LETTER IS RECEIVED. (C. KEYNER) MAKE SURE THE APPROVAL LETTER IS RECEIVED. (C. KEYNER) MAKE SURE THE APPROVAL LETTER IS RECEIVED. (C. KEYNER) MAKE SURE THE APPROVAL LETTER IS RECEIVED. (C. KEYNER) MAKE SURE THE APPROVAL LETTER IS RECEIVED. (C. KEYNER) 10/705612 11/10/2003 DAR ADLI 11/10/2003 DAR ADLI 11/110/2003 DAR ADLI 11/110 | Nonvered Water | itle: | ICLE POWER CONVERTED WIT | TH SHUNT RESISTOR HAVING PLA | | · | |
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| Action Notes: Action Notes: Action Notes: Action Notes: Action Notes: Client elects Group III per fax dated 04-11-05. | CHECK DIVISIONAL C | 83394.0019 | United States | 10/705612 | 11/10/2003 | DAR ADLI | Hitachi Intellectual Property |
| 89197.0002 United States 10/363381 2/27/2003 DAR ADLI | Losking sor | Title: SYST Action Notes | TEM FOR ANALYZING MASS SP | PECTROMETRIC DATA | | - | |
| Loddy Fitte: | Restriction Reqmt | 89197.0002 | | 10/363381 | 2/27/2003 | DAR ADLI | TDK CORPORATION |
| | Lader but | ~ | HOD FOR PREPARING SINTER! . Client elects Group III per fax da | ED PRODUCT, SINTERED PRODUC ated 04-11-05. | ^ | | |
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| Action Due Date Action Description | Docket Number | Country | Case Rel Fil Applicati Type Type Type Number | Application Number | Application Date | Working Attorney | Client\Division |
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| 4/16/2005 | | | | | | | |
| F/U PTO RE STATUS | 81940.0068 | United States | ပ | 10/759929 | 1/16/2004 | DAR ADLI | Hitachi Intellectual |
| Status | Title: BROA | BROADCASTING SYSTEM, BROADCAST RECEIVING HARDWARE SYS | AST RECEIVING HAR | DWARE SYS | . • | | |
| 300 | Action Notes: | Action Notes: App filed 1/16/04 - awaiting first office action.3/16/05-per K. Yee, status | office action.3/16/05-p | oer K. Yee, status | | . * | |
| 4/17/2005 | | , | | | | | |
| Response first OA - 2nd | 81751.0039 | United States | | 10/306810 | 11/27/2002 | DAR ADLI | SEIKO EPSON |
| LONDON GTIME: DISPLAY DRIVER OF Action Notes: | Gitle: DISPL Action Notes: | AY DRIVER CIRCUIT, ELECTRO | CIRCUIT, ELECTRO-OPTICAL DEVICE, AND DISPLAY | AND DISPLAY | | | |
| | | | | | - | • | |
| Official Filing Receipt | 81844.0030 | United States | | 10/508044 | 9/17/2004 | DAR ADLI | KANEKA |
| グードが | Title: METH | $\mathcal{M}_{i}\mathcal{M}$, \mathcal{M}_{i} method for manufacturing tandem-type thin film photoel | NDEM-TYPE THIN FII | LM PHOTOEL | | | |
| | Action Notes: | 3/17/05-per Dar, none received y | et | • - | | , | |
| Response Due | 83394.0020 | United States | | 10/719365 | 11/20/2003 | DAR ADL! | Hitachi Intellectual |
| appropriate Los | ∫¶itle: FACIL | ITY RESERVING METHOD, FAC | SILITY RESERVING S | YSTEM, RES | | | |
| phia my | Action Notes: | Action Notes: Copy of Priority docs filed on 11/20/03 along with a statement that such paper(s) is a complete and accurate copy - need to be re-submitted (Exts are available).317/05-per Dar, filed (no filing date given- WAS COPY OF RESPONSE PROVIDED TO DOCKETING?) | /20/03 along with a state copy - need to be ed (no filing date give CKET!NG?) | atement that such re-submitted (Exts nr- WAS COPY OF | | | 3 |
| | | -4 | | | | | |
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| 10619889 7/15/2003 LARE McCLURE IT. Title: APPARATUS AND METHOD FOR WATER PURIFICATION USING SOLA no instruction. 10/16/04-adv 6 mnths per LJM 10/16/04-ad | Action Due Date Action Description | Docket Number | Country | Case Rel Fil Type Type Typ | Rel Fil Application Type Type Number | Application Date | Working Attorney | ClientDivision |
|--|--|--------------------|--|---|--|---------------------|---------------------|------------------------|
| ## Title: APPARATUS AND METHOD FOR WATER PURIFICATION USING SOLA ### Action Notes: App filed 7/15/02 - availing first office action. 9/15/04 - Advanced 1mth - ### Inter: App filed 7/15/02 - availing first office action. 9/15/04 - Advanced 1mth - ### Inter: App filed 7/15/02 - availing first office action. 9/15/04 - Advanced 1mth - ### Inter: Action Notes: Statement of the Substance of Interview is required by 4/29/05 - per ### Interview summary dated 03/29/05 (No exts.) ### Interview summary dated 03/29/05 one Utility and one PCTto be filed combining 60/59/29/29 (89188.0099DEADLINE TO FILE: 4/30/05 ### Interview summary dated 03/16/05 one Utility and one PCTto be filed combining 60/59/29/29 (89188.0099DEADLINE TO FILE: 4/30/05 ### Interview summary dated 03/16/05 one Utility and one PCTto be filed combining 60/59/29/29/20 (89188.0099DEADLINE TO FILE: 4/30/05 ### Interview summary dated 03/16/05 one Utility and one PCTto be filed combining 60/59/29/29/20 (189188.0099DEADLINE TO FILE: 4/30/05 ### Interview summary dated 03/16/05 one Utility and one PCTto be filed combining 60/59/29/29/20 (189188.0099DEADLINE TO FILE: 4/30/05 ### Interview summary dated 03/16/05 one Utility and one PCTto be filed combining 60/59/29/29/20 (189188.0099DEADLINE TO FILE: 4/30/05 ### Interview summary dated 03/16/05 one Utility and one PCTto be filed combining 60/59/29/29/20 (189188.0099DEADLINE TO FILE: 4/30/05 ### Interview summary dated 03/16/05 one Utility and 03/ | LARE McCLURE 4/15/2005 | | | | | | | · |
| 16tion Notes: App filed 7/15/03 - awaiting first office action. 9/15/04 - Advanced 1mth - no instruction. 10/16/04-adv 6 mnths per LJM 1618.0007 United States 17tle: METHOD AND COMPOSITION FOR ANGIOGENESIS INHIBITION 17tle: METHOD AND COMPOSITION FOR ANGIOGENESIS INHIBITION 17tle: METHOD AND COMPOSITION FOR ANGIOGENESIS INHIBITION 17tle: Method States 1 60/567282 1 4/30/2004 LARE McCLURE 10therview summany dated 03/29/05 (No exits.) 10therview summany date McClure 10therview | PTO RE STATUS | 83770.00 Title: | United States ATUS AND METHOD FOR WA | C TER PURIFICATIC | 10/619889 JN USING SOLA | 7/15/2003 | LARE MCCLURE | Lawrence Nagler |
| ### METHOD AND COMPOSITION FOR ANGIOGENESIS INHIBITION Interview Statement of the Substance of Interview is required by 4/29/05 - per Interview summary dated 03/29/05 (No exts.) Interview summary dated 03/29/05 (No exts.) Interview summary dated 03/29/29/05 (No exts.) Interview summary dated 03/29/29/05 (No exts.) Interview summary dated 03/29/29/05 (No exts.) Interview summary dated 03/29/29/29/29/29/29/29/29/29/29/29/29/29/ | W. G Mary | Action Notes: | App filed 7/15/03 - awaiting first no instruction. 10/16/04-adv 6 mn | office action. 9/15/ iths per LJM | //04 - Advanced 1mth - | | | |
| ## Action Notes: Statement of the Substance of Interview is required by 4/29/05 - per Interview summary dated 03/29/05 (No exts.) ## S9188.0067 United States LegistracTants ## Title: PERFLUOROCARBON-SOLUBLE SURFACTANTS ## Title: PERFLUOROCARBON-SOLUBLE SURFACTANTS ## Action Notes: per client instructions of 2/16/05- one Utility and one PCTto be filed action Notes: per client instructions of 2/16/05- one Utility and one PCTto be filed action Notes: Designated States ## Action Notes: Check completeness of drawings for Response to Missing Parts. 3/22/05- per LJM, sent letter 3/15/05-docket reminder to follow-up re: drawings | ninder | 89188.0007 | United States | | 09/478977 | 1/6/2000 | LARE McCLURE | UNIVERSITY OF SOUTHERN |
| 89188.0067 United States L 60/567282 4/30/2004 LARE McCLURE 89188.0067 United States L 60/567282 4/30/2004 LARE McCLURE Title: PERFLUOROCARBON-SOLUBLE SURFACTANTS Action Notes: per client instructions of 21/6/05- one Utility and one PCTto be filed combining 60/567,282 (89188.0067) and 60/635, 161 (89188.0088)new US-89188.0089 VT-89188.0099DEADLINE TO FILE: 4/30/05 89188.0083 United States Title: NOVEL COMPOUNDS FOR TREATMENT OF CANCER AND DISORDERS Action Notes: Check completeness of drawings for Response to Missing Parts. 3/22/05- per LJM, sent letter 3/15/05-docket reminder to follow-up re: drawings | Con de la constant de | | OD AND COMPOSITION FOR A | NGIOGENESIS IN | HIBITION | | ÷ | CALIFOR |
| ### ### ############################## | 35 | Action Notes: | Statement of the Substance of I Interview summary dated 03/29/ | nterview is requirec 35 (No exts.) | d by 4/29/05 - per | | | |
| Title: PERFLUOROCARBON-SOLUBLE SURFACTANTS Action Notes: per client instructions of 2/16/05- one Utility and one PCTto be filed combining 60/567,282 (89188.0067) and 60/635, 161 (89188.0088)new CuS- 89188.0098new PCT- 89188.0099DEADLINE TO FILE: 4/30/05 B9188.0083 United States 11/027465 12/29/2004 LARE McCLURE Title: NOVEL COMPOUNDS FOR TREATMENT OF CANCER AND DISORDERS per LJM, sent letter 3/15/05-docket reminder to follow-up re: drawings | ninder | 89188.0067 | United States | -1 | 60/567282 | 4/30/2004 | LARE McCLURE | UNIVERSITY OF SOUTHERN |
| Action Notes: per client instructions of 2/16/05- one Utility and one PCTto be filed combining 60/567,282 (89188.0067) and 60/635, 161 (89188.0088)new U.S. 89188.0098new PCT- 89188.0099DEADLINE TO FILE: 4/30/05 U.S. 89188.0098new PCT- 89188.0099DEADLINE TO FILE: 4/30/05 12/29/2004 LARE McCLURE Title: NOVEL COMPOUNDS FOR TREATMENT OF CANCER AND DISORDERS Action Notes: Check completeness of drawings for Response to Missing Parts. 3/22/05-per LJM, sent letter 3/15/05-docket reminder to follow-up re: drawings | Mort | | UOROCARBON-SOLUBLE SUF | ?FACTANTS | | | | CALIFOR |
| Title: NOVEL COMPOUNDS FOR TREATMENT OF CANCER AND DISORDERS Action Notes: Check completeness of drawings for Response to Missing Parts.3/22/05-per LJM, sent letter 3/15/05-docket reminder to follow-up re: drawings | 18 P | Action Notes: | per client instructions of 2/16/05 combining 60/567,282 (89188.0 US- 89188.0098new PCT- 8918 | - one Utility and on 067) and 60/635, 1⋅ 3.0099DEADLINE 1 | ne PCTto be filed 161 (89188.0088)new TO FILE: 4/30/05 | | | |
| Title: NOVEL COMPOUNDS FOR TREATMENT OF CANCER AND DISORDERS Action Notes: Check completeness of drawings for Response to Missing Parts.3/22/05- per LJM, sent letter 3/15/05-docket reminder to follow-up re: drawings | minder | 89188.0083 | United States | | 11/027465 | 12/29/2004 | LARE McCLURE | UNIVERSITY OF SOUTHERN |
| Action Notes: Check completeness of drawings for Response to Missing Parts.3/22/05-per LJM, sent letter 3/15/05-docket reminder to follow-up re: drawings | 3.50 | Title: NOVEL | . COMPOUNDS FOR TREATME | ENT OF CANCER | AND DISORDERS | | | CALIFOR |
| | a Change | Action Notes: | Check completeness of drawing per LJM, sent letter 3/15/05-doch | is for Response to l | Missing Parts.3/22/05- ow-up re: drawings | | | |
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| Action Due Date Action Description | Docket Number | Country | Case Rel Fil Type Type Type | Rel Fil Application Type Type Number | Application Date | Working Attorney | Client\Division |
|--|--------------------------------------|---|---|---|---------------------|---------------------|--------------------------|
| Reminder | 89188.0099 | Patent Cooperation Treaty | | | 1 | LARE McCLURE | UNIVERSITY OF SOUTHERN |
| More to | Title: PERF | PERFLUOROCARBON-SOLUBLE SURFACTANTS | JRFACTANTS | | | | CALIFOR |
| A COLON | Action Notes: | Action Notes: 3/1/05 - PCT application to be filed per clients instruction based on 89188.0088 & 89188.0067 ASAP. **DEADLINE 4/30/05**3/4/05-adv date per Vivian G Olga getting ready to file application3/10/05-adv date per RV3/24/05-per LJM,per OLga she is working on this3/24/05-per RV, adv date4/7/05-adv per RV | filed per clients instr AP. **DEADLINE 4/3 Idy to file application she is working on this | uction based on 10/05**3/4/05-adv date 3/10/05-adv date per s3/24/05-per RV, adv | · | | |
| 4/17/2005 | | | | | | • | |
| EXPIRATION DATE NO TOUT | 81707.0114 Title: APPA Action Notes: | 114 United States APPARATUS FOR THIN FILM FORM Votes: | D 06/ THIN FILM FORMATION - 002012.PD-7648 | 06/822814 3-7648 | 1/27/1986 | LARE McCLURE | KYOCERA (ONO) |
| F/U PTO RE STATUS | 81863.0026 | United States | | 10/780527 | 2/17/2004 | LARE McCLURE | FUKAI & CO. |
| God The Color | Title: SURF Action Notes: | SURFACE-COATED MEMBER | | | | | |
| Reminder Mark | 81863.0032 | 81863.0032 United States Title: CEMENTED CARBIDE AND CUTTING TOOL | D | 10/916671 | 8/12/2004 | LARE McCLURE | FUKAI & CO. (KYOCERA) |
| The state of the s | Lad Lad Action Notes: | Action Notes: File Power of Atty with PTO necessitated by parent declaration having more than 10 listed attys. 9/10/04 - CK & SFerguson handling - sending new docs out to client. 9/17/04 - Advanced 1mth - per LMcClure.10/19/04-7 adv 1mnth per LJM11/18/04-adv date per LJM12/3/04-adv date per LJM13/17/05 - SENT LETTER REQUESTING 3.73 (8) STATEMENT LJM3/17/05-adv date per LJM no response received to our 2/16/05 letter. | cessitated by paren MA4 - CK & SFergus It - Advanced 1mth - 3v date per LJM12/3, 141/05-adv date per B) STATEMENT LJI our Z16/05 letter | t declaration having on handling - sending. per LMcClure.10/19/04- /04-adv date per LJM2/17/05 - SENT | | | |

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| Action Due Date Action Description | Docket Number | Country | Case Rel Type Type | Case Rel Fil Applicat Type Type Type Number | Fil Application Type Number | Application Date | Working Attorney | Client\Division | |
| JACK SCHERLACHER | IER | | | | 7 600 | 6,4 pled 12/184 | **L | . 7 | |
| 4/15/2005 | | | Loter 10- | 1 . SOOK | tex. ml | | | j | |
| F/U PTO RE STÀTUS | 81784.0278 | United States | | 1 | 10/615996 | 7/8/2003 | JACK SCHERLAC | JACK SCHERLAC SANYO ELECTRIC CO. LTD. | ပ |
| | Title: TILT | TILT CONTROL FOR OPTICAL DISC RECORDING AND PLAYBACK APP | ICAL DISC RECORI | JING AND PL | AYBACK APP | | | | |
| | Action Notes. | Action Notes: 9/8/04 - Advanced 1mth Maiden11/8/04-adv 1mn1 request to be filed 12/9/0 date, no instruction2/15// PLEASE HAVE SECRET DATE HAS BEEN MOVE | ごせるといご | 10/8/04 - Adv. n12/08/04-per late, no instr. NTH PER J. S R ON THIS A IS STRAIGH | , no instruction.10/8/04 - Advanced 1mth, per R. th, no instruction12/08/04-per R. Maiden , status 142/15/04-adv date, no instructions1/14/05-adv 35 - ADV. 1 MONTH PER J. SCHERLACHER. TARY DO A PAIR ON THIS APPLICATION. THE ED UP 5 MONTHS STRAIGHT WITHOUT ANY C. KEYNER 2445/05, per 1 Soberais for IPS, she | R. V V T. A. A. A. A. A. A. A. A. A. A. A. A. A. | | | |
| Reminder | 81839.0113 | is pulling a PAIR report | | led s | July 4-07.05 | 8/13/2002 | JACK SCHERLAC YOSHIMIYA | YOSHIMIYA | ٠ |
| | Title: MET | \mathcal{U} METHOD AND APPARATUS FOR GROWING SJNGLE CRYSTAL | ℓ JS FOR GROWING | SJNGLE CRY | (STAL | | | PAIENI OFFICE | = |
| | < | Action Notes: IDS needs to be filed. See fax dated 04-15-05. | d. See fax dated 04-5 | 5-05. | 10 | Les 18. | ,05 - ad | Wager- | 1 |
| 4/16/2005 | | · G | of status relation to be 1 4-1 | John. | 9 | | | | |
| F/U PTO RE STATUS | 81752.0110 | United States 🖈 | | | - 09/925833 | 8/7/2001 | JACK SCHERLAC SEIKO EPSON CORPORATION | SEIKO EPSON CORPORATION | |
| | Title: ADDF | ADDRESS PRINTING METHOD AND DEVICE AND ADDRESS LABEL PR | THOD AND DEVICE | AND ADDRE | ESS LABEL PR | | | | |
| | Action Notes: | STATUSREQUEST WAS STATUSREQUEST WAS STATUSREQUEST WAS STATUS OF Bublication for A on 2 of Publication for A on 2 of Public | APP FILED 8/7/01. NO OFFICE ACTION REC'D YET. LAST STATUSREQUEST WAS FILED 1/27/03. EXPECTED DATE FOR ACTION PER STATUS LETTER REPLY REC'D 3/11/03. 107/02 - Notice of Publication rec'd on 7/02 - defer until 12/31/02 per R. Maiden 5/13/04 - | N REC'D YE S. EXPECTE! ' REC'D 3/11/ | T. LAST D DATE FOR '03. 10/7/02 - No Maiden 5/13/0 | tice 4 - | | | |
| | | Advanced 1mth no in Second status reque instruction1/14/05-ac J. SCHERLACHER3 | Advanced finith to instruction. 6/10/04- advanced finth, no instruction. Second status réquest filed 6/16/04-12/16/04-adv date, no instruction1/14/05-adv date, no instruction2/16/05 - ADV. 1 MONTH PER J. SCHERLACHER3/16/05-adv 1 mo per JPS | - advanced 1r 6/04-adv date in2/16/05 - Al | nth, no instruction s, no OV. 1 MONTH PE | : K | | ì | • |
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|---|------------------------|------------------|--|--|---------------------|---------------------------------------|-------------------------------|
| | Action Due Date | Docket Number | Country | Case Rel Fil Application Type Type Number | Application Date | Working Attorney Cl | ClientDivision |
| | F/U PTO RE STATUS | 81752.0136 | United States 2 | Julia 1 10/193696 | 7/10/2002 | ERLAC | SEIKO EPSON |
| | | Title: PRINT | PRINTING COST CALCULA | CULATION SYSTEM AND COLORING MATERIAL S | | | |
| | | Action Notes: | APP FILED 7/10/02. 9/10/03. Status letter than 15mths (12/16/04 no instruction2/16/05 - | Action Notes: APP FILED 7/10/02. AWAITING OFFICE ACTION. Status request filed 9/10/03. Status letter reply rec'd 9/24/03 - Expected date for action is more than 15mths (12/16/04)12/16/04-adv date, no instruction1/14/05-adv date, no instruction2/16/05 - ADV. DATE 1 MONTH PER JPS3/16/05-adv 1 mo | O | | |
| / | \ | | per JPS | | | | |
| | Reminder issue fee due | 81784.0229 | United States | Lee / Bod 09/8/16644 | 3/23/2001 | JACK SCHERLAC SANYO ELECTRIC CO. LTD. | SANYO ELECTRIC CO. LTD. |
| | | Title: ERRO | ERROR DETECTION AND C | AND CORRECTION CIRCUIT | | 0.1. | 10/01/11/0 |
| | | Action Notes: | • | ₹, | Halus | wee be fre | status were the freed +/19/05 |
| 2 | F/U PTO RE STATUS | 81839.0123 | United States | Mance 1-mo - 10/312750 C | 12/27/2002 | JACK SCHERLAC YOSHIMIYA PATENT OF | YOSHIMIYA PATENT OFFICE |
| | | Title: METH | OD FOR PROCESSIN | METHOD FOR PROCESSING SEMICONDUCTOR WAFER AND SEMICO | | | |

Action Notes: Status request filed 3/3/04. Status letter reply rec'd 3/22/04 - Application will be first examined in 6-9mths (12/16/04)12/16/04-adv date , no instructions1/14/05-adv date, no instruction2/16/05 - ADV. DATE 1 MONTH PER JPS3/16/05-adv 1 month per JPS

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| Docket Case Rel Fil Application Application Winnhor | | 7 1 1 1 | | | | | | | | | |
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| Number: | 1 | ocket | | Cas | se Rel Fi | I Api | olication | Application | Working | | |
| on the type type type type type type type typ | < u | /umper | Country | Typ | ne Type Ty | pe Nu | mber | Date | Attorney | Client\Division | ion |

Troy Schmelzer

4/15/2005

Title: SPA JET MOUNTING ASSEMBLY AND METHOD OF INSTALLATION United States 89244.0003 Restriction Reqmt response due

10/077671

Troy Schmelzer PENTAIR POOL PRODUCTS, INC.

Action Notes: 4/13/05-per TMS, rsponse will be filed 4/15/05

2/15/2002

| Action Due Date Action Description | Docket Number | Country | Case Rel Fil Applicat Type Type Type Number | Application Number | Application Date | Working Attorney | Client\Division |
|---------------------------------------|-------------------------------------|--|--|---|---------------------|---------------------|-----------------------|
| WEI NING YANG | | | | | | | |
| 4/15/2005 | 0 | | | | | | |
| Reminder | # 81841.0050 <i>Title</i> : SYNT | 350 European Patent Convention 02 SYNTHESIS OF CHLOROPHENOL RED GLUCURONIC ACID | 027 ED GLUCURONIC ACID | 02714832.9-2101 ID | 2/6/2002 | WEI NING YANG | Beckman Coulter, Inc. |
| Beckman about to to about the las | Action Notes: | Action Notes: 3/22/05-per RV- OK to close reminder, B. Shuman reviewed file and determined text can be approved , fees can be paid and translations can be prepared. Deadline is 5/24/05. reminder entered for 4/15/05HAS MULLER-BORE BEEN INSTRUCTED? | V- OK to close reminder, B. Shuman reviewed file and t can be approved, fees can be paid and translations of Deadline is 5/24/05. reminder entered for 4/15/05HAS E BEEN INSTRUCTED? | ed file and anslations can t/15/05HAS | | | |
| Reminder | 81841.0051 <i>Title:</i> NUCL | 03731024.0-2 Detent Convention 03731024.0-2 NUCLEIC ACID SEPARATION AND DETECTION BY ELECTROPHORESI | 037 ETECTION BY ELECTRO | 03731024.0-2401 ROPHORESI | 4/16/2003 | WEI NING YANG | Beckman Coulter, Inc. |
| | Action Notes: | Action Notes: Declaration required by EPO on 6/1/05 - as to whether applicant intends to proceed further with app. FA needs instruction by: 5/2/05 | quired by EPO on 6/1/05 - as to whether apl r with app. FA needs instruction by: 5/2/05 | plicant intends to | ·. | | |
| Reminder AVSM | 81841.0071 <i>Title:</i> CONE | 771 China P.R. CONDUCTIVE MICROPLATE | 380 | 03811044.X | 5/14/2003 | WEI NING YANG | Beckman Coulter, Inc. |
| Deckmon | Action Notes: | Action Notes: Petition for examination due: 5/15/05 | 15/05 | | | | |
| Reminder | 81841.0259 <i>Title:</i> ADSC | 259 United States ADSORPTION-DRIVEN IMMOBILIZATION OF LINKER OLIGONUCLEOTI | P FION OF LINKER OLIGON | IUCLEOTI | | WEI NING YANG | Beckman Coulter, Inc. |
| peparis & Cher | Action Notes: | 11/30/04 - CIP TOBE FILED A WITH VIVIAN (WITH VIVIAN (WI | APPLICATION BASED ON 81841.0049 WILL NEED (SAP PER THE CLIENTS INSTRUCTIONS. (APPLN. IS G.) 12/15/04-per Vivian G., waiting to hear from cllient, mc 4/05/114/05-per Vivian G., not yet 2/14/05 - OLGA ORKING ON THIS PER V. GUITERREZ.DATE MOVED 2/05-adv date per Vivian2/28/05-per Vivian- not yet- date onth3/28/05-per Vivian- application is with Olga B. & she in | //LL NEED . (APPLN. IS . om cilient, move . OLGA ATE MOVED . not yet- dated lga B. & she is | | | |
| to instance to | Ž | · | | | | | |

| Action Due Date Action Description | Docket Number | Country | Case Rel Fil Application Type Type Type Number | Application Number | Application Date | Working Attorney | ClientlDivision |
|--|------------------------------------|---|---|--------------------------|---------------------|---------------------|-------------------------------------|
| 4/16/2005 | | | | | | | |
| ANNUAL REMINDER OF | 81841.0051 | Japan | | 2003-586299 | 4/16/2003 | WEI NING YANG | WEI NING YANG Beckman Coulter, Inc. |
| Color of the color | Title: NUCL Action Notes: |) $\it Title: $ | DETECTION BY ELEC | TROPHORESI | | · | |
| Response Due | 81841.0209 Title: METH | 81841.0209 European Patent Convention UNASSIGNET Title: METHOD OF ANALYZING NON-COMPLEXED FORMS OF PROSTATE S | n APLEXED FORMS OF I | UNASSIGNED PROSTATE S | 5/22/2003 | WEI NING YANG | WEI NING YANG Beckman Coulter, Inc. |
| the yestern | Action Notes: | $Action\ Notes$: Possible Amendments must be filed by today. (NO EXTS PERMITTED) | e filed by today. (NO E) | XTS PERMITTED) | | | |
| ILO AMEMILIA PIO RE STATUS | 81841.0251 <i>Title:</i> REM | 81841.0251 United States CONFORMAL LINERS FOR CENTRIFUGE CONTAINERS | C FOR CENTRIFUGE C | 10/759498 :ONTAINERS | 1/16/2004 | WEI NING YANG | WEI NING YANG Beckman Coulter, Inc. |
| | Action Notes: | Action Notes: 3/-adv 1 month, no instruction | | | | | |

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WEI NING YANG Beckman Coulter, Inc.

4/17/2003

2003-587928

Title: POLYMER-COATED SUBSTRATES FOR IMMOBILIZATION OF BIOMOLE

Action Notes:

Japan

81841.0134

ANNUAL REMINDER OF FXAMINATION DUE

4/17/2005